IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Zhenan Bao, et al.

Serial No.:

N/A

Filed:

Herewith

For:

FORMING CLOSELY SPACED ELECTRODES

Group No.:

N/A

Examiner:

N/A

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Date of Mailing Typed or printed name of

INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. § 1.56, Applicant submits this statement. This submittal is made in accordance with 37 C.F.R. §§ 1.97 and 1.98 and § 609 of the Manual of Patent Examining Procedure. The patents, publications and other information herein are listed below and on the attached Form PTO-1449. Copies of the listed references are submitted herewith.

U.S. Patent No.	<u>Inventor</u>	<u>Date</u>
2003/0047796 A1	Bao et al.	March 13, 2003
2002/0171125 A1	Bao et al.	November 21, 2002

References:

Stephen D. Evans, Abraham Ulman, Kim E. Goppert-Beraducci and Louis J. Gerenser; "SELF ASSEMBLED MULTILAYERS OF w-MERCAPTOALKANOIC ACIDS; SELECTIVE IONIC INTERACTIONS"; J. American Chemical Society 1991; Pgs. 5866-5868.

C. Zhou, M.R. Deshpande, M.A. Reed, L. Jones and J.M. Tour; "NANOSCALE METAL/SELF ASSEMBLED MONOLAYER/METAL HETEROSTRUCTURES"; Appl. Physics Letter 71, 4 August 1997; Pgs. 611-613.

X D Cui, X. Zarate, J. Tomfohr, O F Sankey; A. Primak, A L Moore, T A Moore, D. Gust, G Harris and S M Lindsay; "MAKING ELECTRICAL CONTACTS TO MOLECULAR MONOLAYERS"; Nanotechnology 13 2002; Pgs. 5-14.

Mathias Brust, Peter M. Blass and Allen J. Bard; "SELF ASSEMBLY OF PHOTOLUMINESCENT COPPER (I) DITHIOL MULTILAYER THIN FILMS AND BULK MATERIALS"; 1997 American Chemical Society; Pgs. 5602-5607.

Tina Louise Brower, Myneeka Cook and Abraham Ulman; "MIXED SELF-ASSEMBLED MULTILAYER OF 4,4'-DIMERCAPTOBIPHENYL AND 1,8-OCTANEDITHIOL"; American Chemical Society.

JH Schon and Z. Bao; "NONOSCALE ORGANIC TRANSISTORS BASED ON S ELF-ASSEMBLED MONOLAYERS"; American Institute of Physics 2002, Vol. 80, Number 5; 4 February 2002; Pgs. 847-849.

Jan Hendrik Schon, Hong Meng & Zhenan Bao; "SELF ASSEMBLED MONOLAYER ORGANIC FIELD EFFECT TRANSISTORS"; Letters to Nature, Vol 413, 18 October 2001; Pgs. 713-716.

Z. Hatzor and P.S. Weiss; "MOLECULAR RULERS FOR SCALING DOWN NANOSTRUCTURES"; Science Vol 291; 9 February 2001; Pgs. 1019-1020.

Applicant hereby expressly reserves the right to swear behind the effective dates of any of the above Patents and to question the relevance and materiality of the Patents and Publications listed herein, in whole, in part, or in combination, subsequent to filing this Information Disclosure Statement. The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 08-2395.

Respectfully submitted,

HITT GAINES, P.C.

Charles W. Gaines

Registration No. 36,804

Date:

Hitt Gaines, P.C. P.O. Box 832570

Richardson, Texas 75083

(972) 480-8800

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449/PTO		Complete if Known				
545511416 16. 16.111 17.16.1 10				Application Number	N/A	
INFORMATION DISCLOSURE				Filing Date	Herewith	
				First Named Inventor	Zhenan Bao	
STATEMENT BY APPLICANT			Art Unit	N/A		
	(Use as many sh	eets as	necessary)	Examiner Name	N/A	
eet	1	of	2	Attorney Docket Number	BAO	

				T DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
		^{US-} 2003/0047796 A1	03/13/2003	Bao et al.	
		^{US-} 2002/071125 A1	11/21/2002	Bao et al.	
		US-			
· · ·		US-			
		US-			
		US-	-		
		US-			
		US-			
 		US-			
		US-			
		US-			
		US-		-	
		US-			

	FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages					
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T ⁶				
	<u> </u>									
	ļ <u>.</u>									
	1									

Examiner	Date	
Signature	Considered	
•		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁸ Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Approved for use through 07/31/2006, OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute	e for form 1449/PTO			Complete if Known			
Substitute for form 1445/175				Application Number	N/A		
INFO	DRMATION	I DIS	CLOSURE	Filing Date	Herewith		
STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	Zhenan Bao		
u	(Use as many she	ate se ni	ecasean/l	Art Unit	N/A		
	(Ose as many sne	ets as m	scessary)	Examiner Name	N/A		
Sheet	2	of	2	Attorney Docket Number	BAO		

	,	NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Stephen D. Evans, Abraham Ulman, Kim E. Goppert-Beraducci and Louis J. Gerenser; "SELF ASSEMBLED MULTILAYERS OF w-MERCAPTOALKANOIC ACIDS; SELECTIVE IONIC INTERACTIONS"; J. American Chemical Society 1991; Pgs. 5866-5868.	
		C. Zhou, M.R. Deshpande, M.A. Reed, L. Jonesll and J.M. Tour; "NANOSCALE METAL/SELF ASSEMBLED MONOLAYER/METAL HETEROSTRUCTURES"; Appl. Physics Letter 71, 4 August 1997; Pgs. 611-613.	
		X D Cui, X. Zarate, J. Tomfohr, O F Sankey; A. Primak, A L Moore, T A Moore, D. Gust, G Harris and S M Lindsay; "MAKING ELECTRICAL CONTACTS TO MOLECULAR MONOLAYERS"; Nanotechnology 13 2002; Pgs. 5-14.	
		Mathias Brust, Peter M. Blass and Allen J. Bard; "SELF ASSEMBLY OF PHOTOLUMINESCENT COPPER (I) DITHIOL MULTILAYER THIN FILMS AND BULK MATERIALS"; 1997 American Chemical Society; Pgs. 5602-5607.	
		Tina Louise Brower, Myneeka Cook and Abraham Ulman; "MIXED SELF-ASSEMBLED MULTILAYER OF 4,4'-DIMERCAPTOBIPHENYL AND 1,8-OCTANEDITHIOL"; American Chemical Society.	
		JH Schon and Z. Bao; "NONOSCALE ORGANIC TRANSISTORS BASED ON SELF-ASSEMBLED MONOLAYERS"; American Institute of Physics 2002, Vol. 80, Number 5; 4 February 2002; Pgs. 847-849.	
		Jan Hendrik Schon, Hong Meng & Zhenan Bao; "SELF ASSEMBLED MONOLAYER ORGANIC FIELD EFFECT TRANSISTORS"; Letters to Nature, Vol 413, 18 October 2001; Pgs. 713-716.	
		Z. Hatzor and P.S. Weiss; "MOLECULAR RULERS FOR SCALING DOWN NANOSTRUCTURES"; Science Vol 291; 9 February 2001; Pgs. 1019-1020.	
**			

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.